

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**H0003847 (16129)**

In Re Application Of: **Steven J. Winick**

Serial No.  
**10/646,642**

Filing Date  
**August 22, 2003**

Examiner  
**Unassigned**

Group Art Unit  
**Unassigned**

Title: **RF INTERCONNECTED HVAC SYSTEM AND SECURITY SYSTEM**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

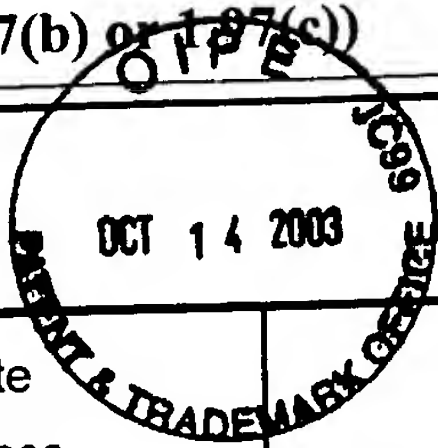
**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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Unassigned

**RF INTERCONNECTED HVAC SYSTEM AND SECURITY SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F):

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 10/8/03 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
Signature

William C. Roch

\_\_\_\_\_  
Typed or Printed Name

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Dated: October 8, 2003

William C. Roch  
Registration No. 24,972

Correspondence Address  
Customer No.: 23389

WCR:jf

cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Steven J. Winick

**Examiner:** Unassigned

**Serial No.:** 10/646,642

**Group Art Unit:** Unassigned

**Filed:** August 22, 2003

**Docket:** H0003847 (16129)

**For:** RF INTERCONNECTED HVAC SYSTEM  
AND SECURITY SYSTEM

**Dated:** October 8, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the six (6) references, which are listed on the attached Form PTO-1449, be made of record in the above-identified case. All of the references are U.S. Patents and Publications, and accordingly copies thereof are not supplied.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

William C. Roch

Registration No.: 24,972

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(516) 742-4343  
WCR:jf

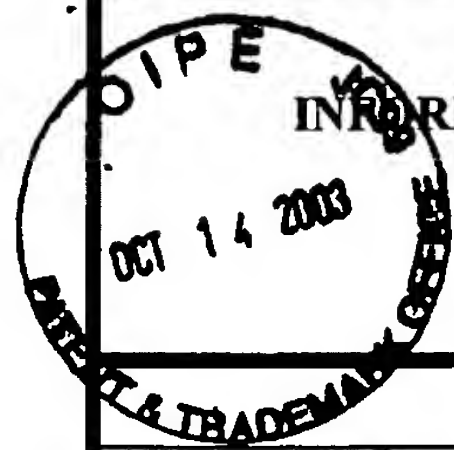
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on October 8, 2003.

Dated: October 8, 2003

  
William C. Roch



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

H0003847 (16129)

Application Number

10/646,642

Applicant(s)

Steven J. Winick

Filing Date

August 22, 2003

Group Art Unit

Unassigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,029,092	2/22/00	Michael Stein			
		20020156860	10/24/02	Alan D. Finke, et al			
		20020152298	10/17/02	Christopher Kikta, et al			
		20020035404	3/21/02	Michael Ficco, et al			
		20020016639	2/7/02	Marjorie L. Smith et al			
		20010043686	11/22/01	Jerry Lggulden			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.